



FI\$Cal

Financial Information System for California

FI\$Cal Forum

January 29, 2014

Agenda

- Project Status
- SPR 5
- Chart of Accounts
- Budget Functionality
- Panel Discussion

Status of Wave 1

- Testing
 - FI\$Cal Project focused on testing activities
 - Functional Test – In Progress
 - Configuration Test – In Progress
 - Interface Test – In Progress
 - Conversion Test – In Progress
- Managing Change
 - Working with Department Liaisons to incorporate identified changes from completed Change Impact Tools into department-specific tasks in the Master Department Workplans
- Training
 - FI\$Cal training course materials under development
 - FI\$Cal Training Team is working with departments to plan their engagement with Train-the-Trainer and training deployment

SPECIAL PROJECT REPORT 5

Barbara Taylor, FI\$Cal Project Executive

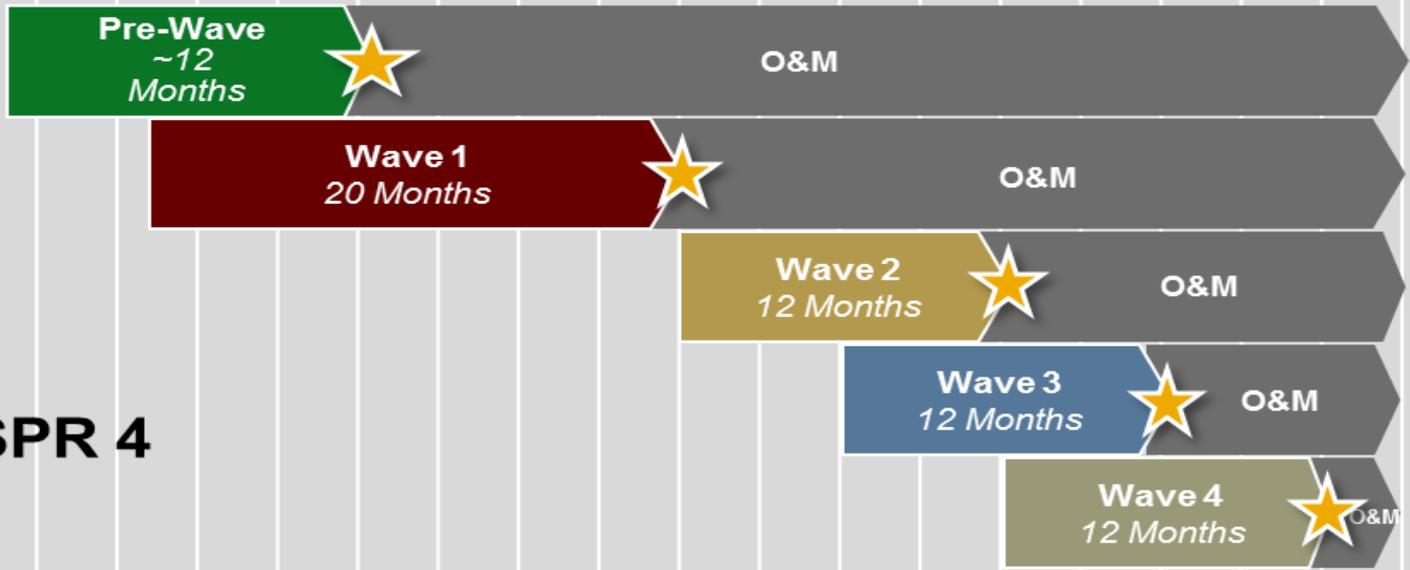
SPR 5 Highlights

- On January 17, 2014 the California Department of Technology approved Special Project Report 5 for the FI\$Cal Project
- SPR 5 makes the following changes to FI\$Cal:
 - Adds DGS from a partially deferred department for its operations to a Wave 2 department
 - Shifts STO and SCO control agency functions to Wave 3 with a new go live date of July 2016
 - Shifts the majority of departments to Wave 4 with a new go live date of July 2017
 - Includes a PeopleSoft upgrade in Wave 3
- SPR 5 does not propose any changes to Wave 1

Calendar Years

2012				2013				2014				2015				2016				2017			
Q1	Q2	Q3	Q4																				

SPR 4



SPR 5



Why SPR 5?

- The FI\$Cal Project is over a year into the implementation. There is a clearer picture of the magnitude of work necessary for success that is now based upon first-hand experience.
- Overlapping workload associated with the design, build, and test activities for the end-to-end System functionality for SCO, STO, and DGS Control Agency functions and the remaining departmental functionality all in Wave 2 while bringing on several very large departments, creates an even greater risk than anticipated.

Why SPR 5? (con't.)

- Experience and knowledge gained from Pre-Wave and Wave 1 efforts, and the advice of the ERP Advisors highlighted that a change is necessary to decrease Project risk and increase the probability of success in each FI\$Cal wave.
- The schedule change will provide the ability to focus on full solution and stabilization before rollout to the majority of the departments.
- Implementing the Control Agencies and the entire end-to-end FI\$Cal solution as well as the upgrade prior to deployment to the majority of State departments and agencies will significantly reduce the amount of retraining and rework required.

CHART OF ACCOUNTS

Cathy Leal
Tracy Milner

ChartFields

Business Unit (BU): An organizational unit with a balanced set of books that is used to segregate GL reports and processing. Access to the use of Business Units can be controlled with security configuration. FI\$Cal Business Units will be defined as the Organization Codes to which Departmental appropriations are made.

Business Unit Affiliate: Identifies the Business Unit *counterparty* on a cross-BU (“InterUnit) entry, i.e. the “other Business Unit”

Appropriation Reference (Reference): Identifies the *appropriation and financial control structure* of a transaction. It is typically used to maintain uniqueness across multiple appropriations involving the same Department (BU) and Fund.

Fund: A fiscal and accounting entity with a balanced set of books. FI\$Cal Funds will include values that combine funds and subfunds into a single coding element.

Fund Affiliate: Identifies the Fund counterparty on a cross-Fund (“IntraUnit”) entry, i.e. the “other Fund”

Statewide values – centrally maintained
 BU specific values – centrally maintained
BU specific values – BU maintained

ChartFields

Enactment Year (ENY/YOE): The year an appropriation was first authorized or enacted. DOF refers to this as Year of Appropriation (YOA).

Account: Identifies the type of asset, liability, fund balance, receipt, expense, transfer or statistical measurement involved in a transaction. FI\$Cal Accounts will merge the existing GL Code, Receipt Code and Object Code concepts into a single coding element. Accounts will be numbered as shown below:

First Digit	Account Type
1	Asset
2	Liability
3	Equity / Fund Balance
4	Revenue
5	Expense
6	Transfers (revenue & expense)
9	Statistical

Statewide values – centrally maintained
BU specific values – centrally maintained
BU specific values – BU maintained

ChartFields

Alternate Account: An Account subclassification, typically used for receipt and expense accounts. Each Alternate Account is linked to one “parent” Account, but an Account can have multiple “child” Alternate Accounts.

Program: Identifies the *programmatic function* of a transaction, including its program, element, component and task, as applicable. Departments can define lower level classifications of Programs as needed. Similar to the UCM Program concept.

Reporting Structure: Identifies the *organizational entity* associated with a transaction. Such as Division, Office, Unit.

Service Location: An agency defined ChartField that identifies the location (county, city, district, building, etc.) associated with a transaction

Statewide values – centrally maintained
 BU specific values – centrally maintained
BU specific values – BU maintained

ChartFields

Project: Associates transactions with grants, capital projects and other activities having a finite duration. Projects can cross multiple budget years, funds and departments. Use of a Project ID on a transaction involves the use of other Project related ChartFields such as Activity (required), Resource Type, Resource Category and Resource Subcategory (all optional).

Agency Use: An agency defined ChartField that is used to meet reporting and/or budgeting requirements not met by other ChartFields.

Statistics Code: Useful for tracking statistical data (units) related to a financial transaction.

Budget Period: Although not actually a ChartField, the Budget Period field is similar in usage to ChartFields. This field represents the budget fiscal year to which a budget transaction applies. It is similar to the existing Funding Fiscal Year concept, and is referred to by DOF as Year of Budget (YOB).

Statewide values – centrally maintained
BU specific values – centrally maintained
BU specific values – BU maintained

ChartField Design Summary (1 of 2)

ChartField Label	Max Length	Maintained	When Required?
Business Unit ✓	4 (max length is 5)	Centrally	Always
Business Unit Affiliate	4 (max length is 5)	Centrally	Never
Appropriation Reference	4 (most will be 3)	Centrally	On expenditure and reimbursement transactions
Fund ✓	4 (when no Subfund exists) 9 (when a Subfund exists)	Centrally	Always
Fund Affiliate	4 / 9	Centrally	ChartField Label
Enactment Year	4	Centrally	On expenditure and reimbursement transactions
Account	7	Centrally	Always
Alt Account	10 (first 7 digits is the corresponding Account)	By Departments	Never
Program	10 (Program segment 4, Subprogram segment 3, Subtask Segment 3)	Statewide 1-7, Departmentally defined 8-10, subject to central review	On expenditure and reimbursement transactions

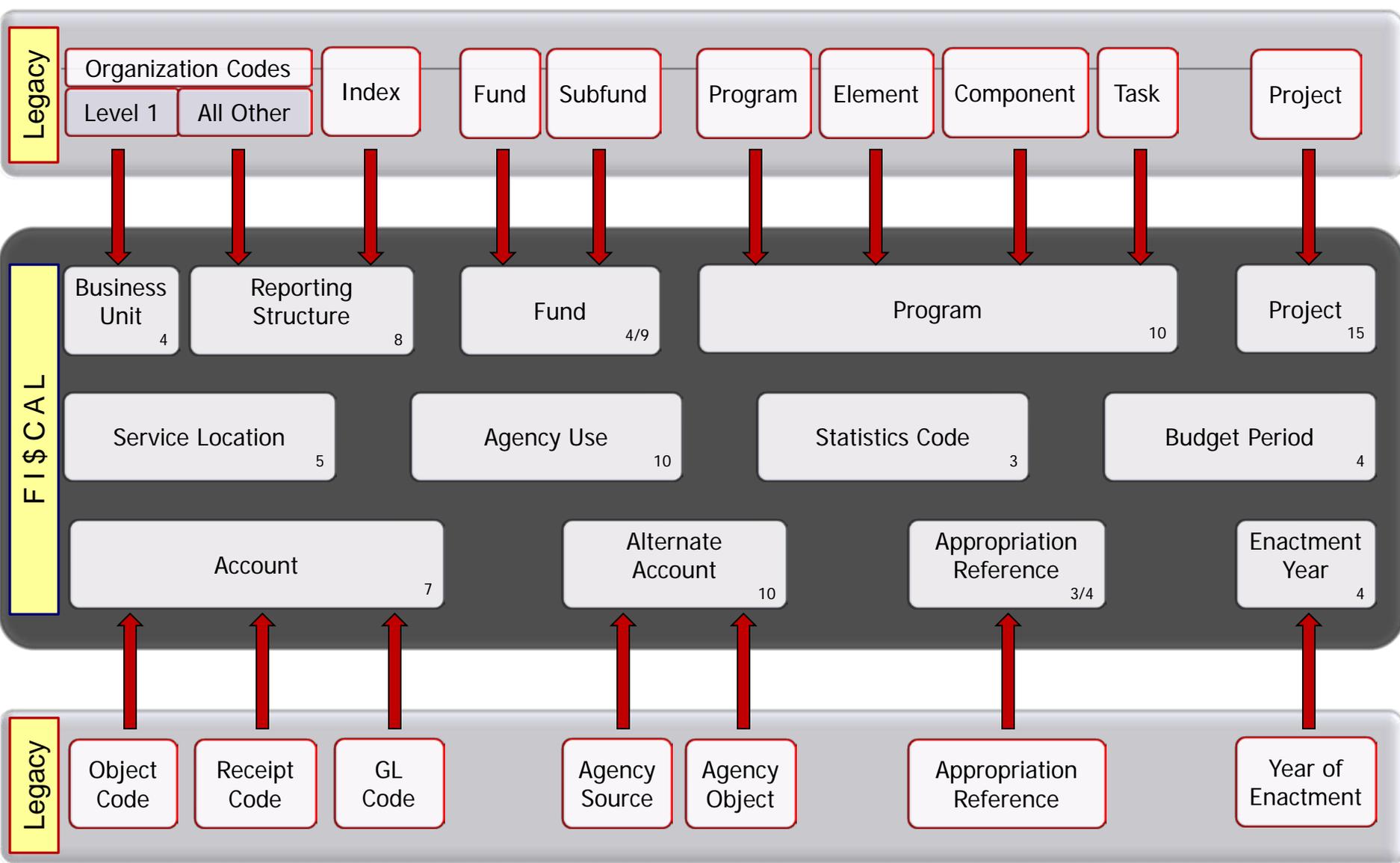
✓ indicates a balancing ChartField

ChartField Design Summary (2 of 2)

ChartField Label	Max Length	Maintained	When Required?
Reporting Structure	8 (BU Prefix, 4 departmentally defined)	By Departments	On receipt and expenditure transactions
Service Location	5	By Departments	Never
Project	15	By Departments	Never (could be required on transactions involving Fed funds)
Agency Use	10	By Departments	Never
Statistics Code	3	Centrally	Never
Budget Period <i>(not an actual ChartField)</i>	4	Centrally	Budget journals only

✓ indicates a balancing ChartField

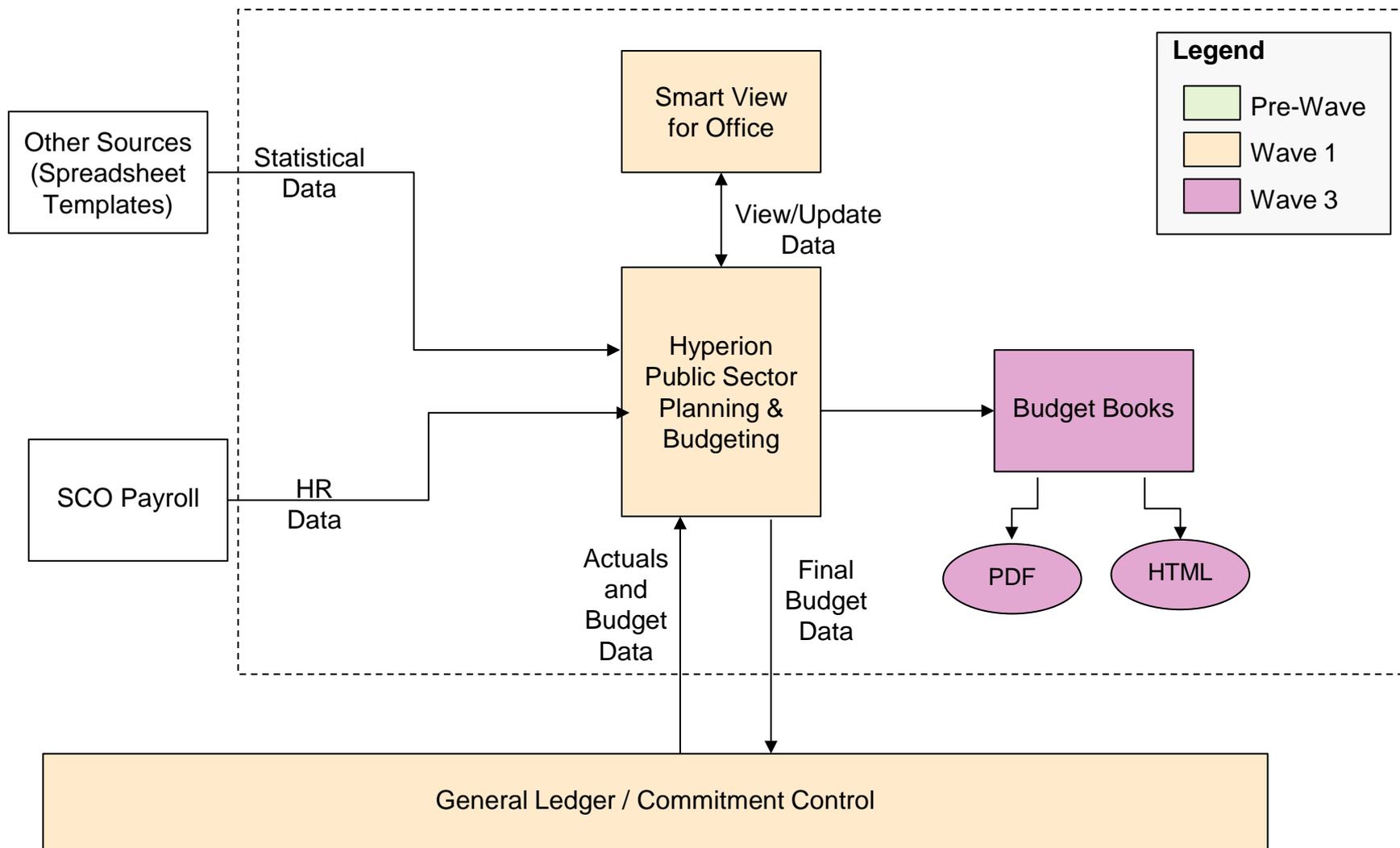
ChartField / UCM Codes Cross-reference



BUDGET FUNCTIONALITY

Amanda Martin

Budget Functionality



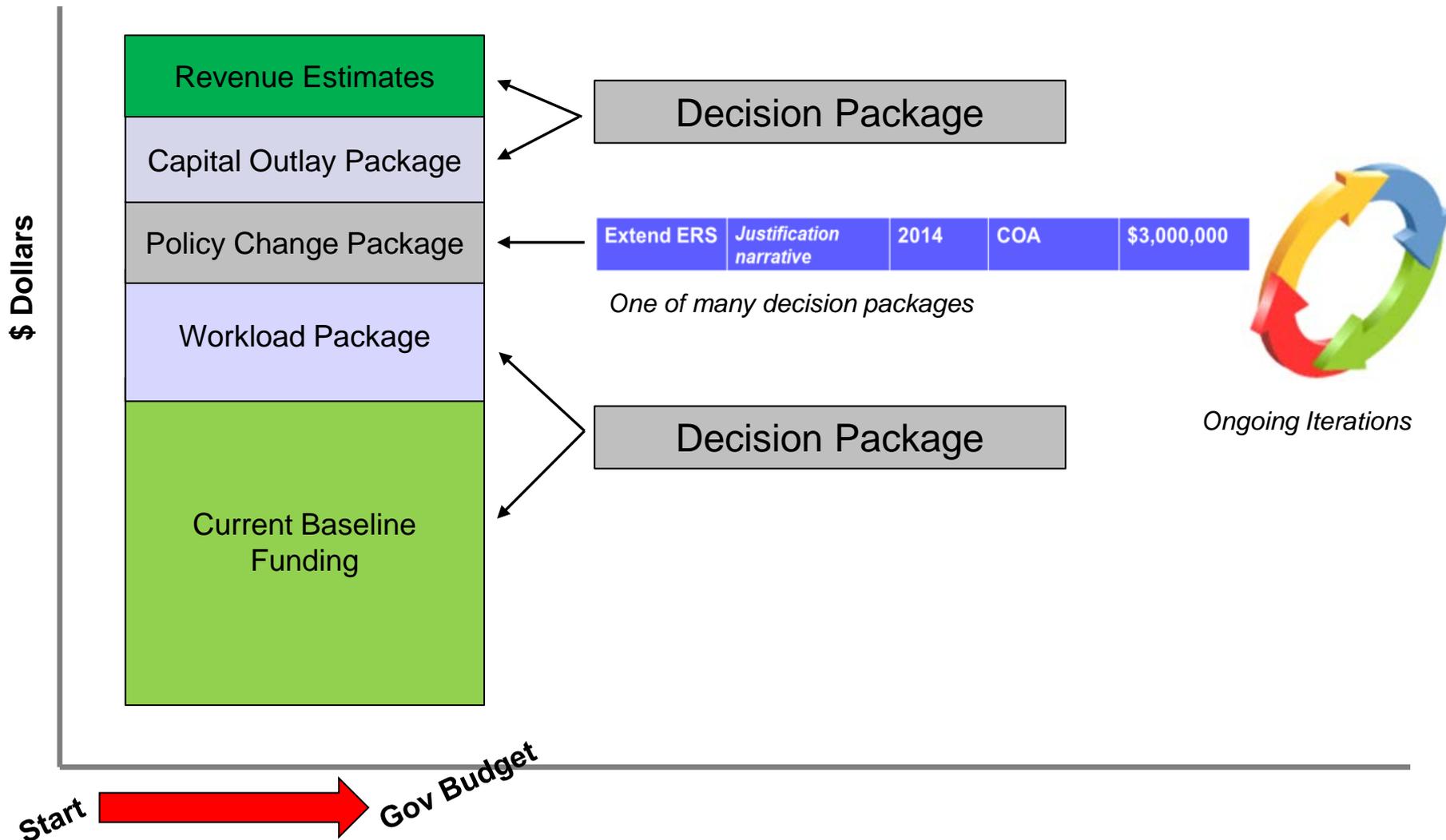
What IS Changing for Budgets

- DOF centralized budget development functionality in FI\$Cal will be implemented in Wave 1 statewide
 - Wave 1 departments will enter their budgets directly in FI\$Cal
 - Non-Wave 1 departments will submit their BCPs and Planning Estimate Adjustments to DOF using new standardized templates to be uploaded into FI\$Cal
- All budget requests (current year and budget year) will be entered into FI\$Cal
- Automated workflow is introduced for Wave 1 Departments
- All Items of Appropriation will be scheduled to a Program, eliminating most payables and the BR-1 process
- Reimbursements must be scheduled to a Program

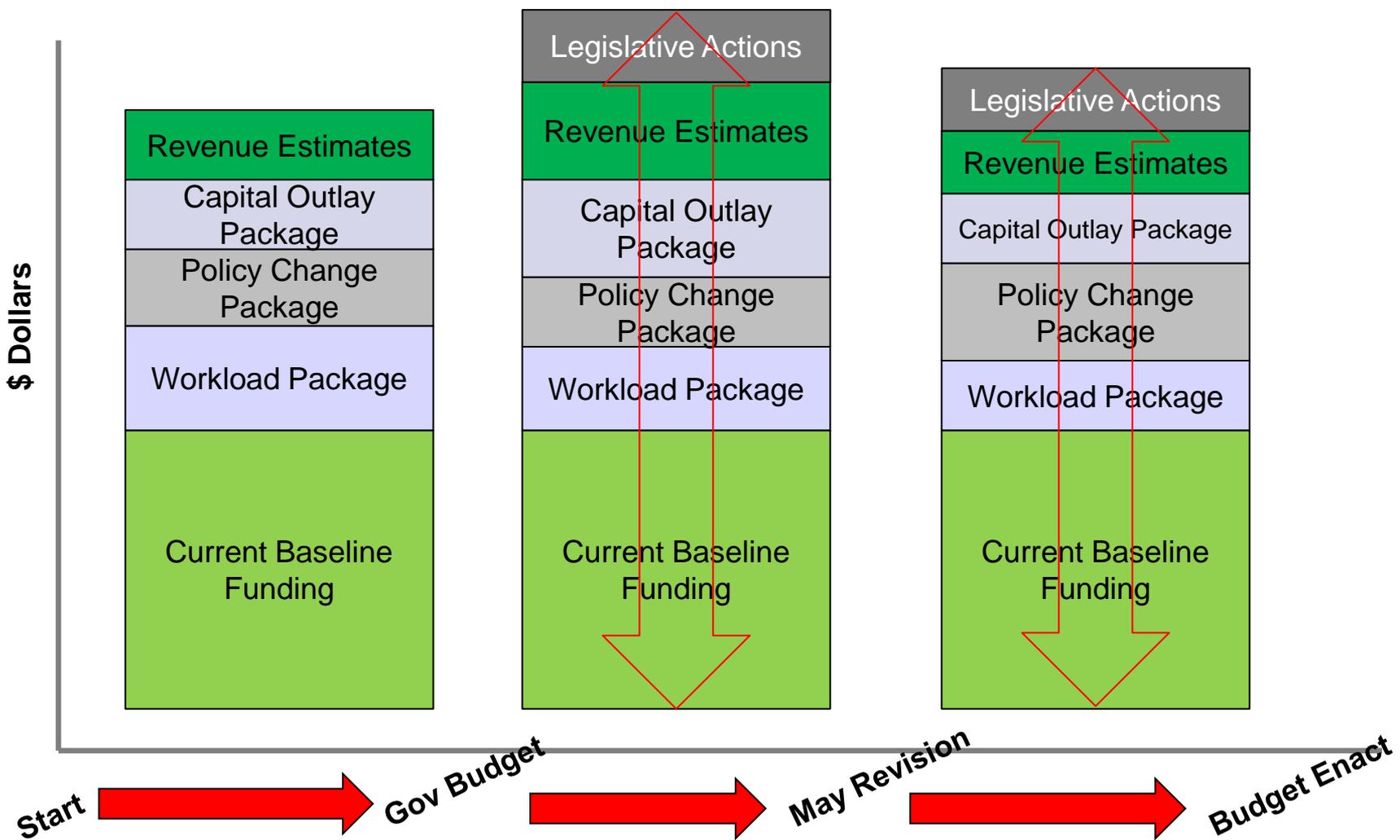
What **IS** Changing for Budgets

- Initiating the budget
 - Starting base budget year (BY) budget amounts will not solely be the enacted current year (CY) amount
 - For Wave 1 only, new starting figures will reflect the enacted budget, and need to be adjusted by approved one-time costs, full-year annualization, and other approved out-year impacts
 - Human Capital Planning (HCP) information will be uploaded to FI\$Cal through a new Schedule 7A/8 process for base positions
- Every dollar in the department's budget will be in a decision package (whole dollars)

FI\$Cal Decision Package Concept



FI\$Cal Decision Package Concept



Budget Functionality

http://vfvfswb1.vv.fiscal.ca.gov:8780/ - Oracle Enterprise Performance Management System Works - Windows Internet Explorer

ORACLE Enterprise Performance Management System Workspace, Fusion Edition Logged in as amandanalyst | Help | Log Off

Navigate File Edit View Favorites Tools Administration Help

HomePage CalPLAN - Task List Status Search Advanced

Forms

- Manage Task Lists
- Decision Package Types
- My Task List
 - Budget Administration
 - Budget Preparation
 - Budget Analysis
 - Capital Outlay Budget Preparation
 - DOF Cash Flow Forecasting
 - Finance
 - Department**
 - Budget Instructions
 - Baseline
 - Baseline Adjustments
 - Current Year Adjustments
 - Budget Change Proposal
 - ECP Change Proposal
 - COBCP Updates
 - Prepare Supplementatl Schedules
 - Fund Transfers
 - Drill with instructions reducing personal costs
 - Drill with instructions
 - DOF Cash Flow

- Preferences

Task List Status

Task List Status - Department View

Status of all Task Lists

Overdue, 23.08%

Incomplete, 76.92%

Legend: Incomplete (Red), Complete (Green), Overdue (Orange)

Task List Tasks - Department

Task	Type	Status	Due Date	Alert	Completed Date	Instructions	Action
Department							
Budget Instructions							
Baseline			7/15/13	Yes			
Review Baseline							
Open Schedule & Upload							
Baseline Adjustments							
Current Year Adjustments							
Budget Change Proposal			8/29/13	Yes			
ECP Change Proposal							
COBCP Updates							
Prepare Supplementatl Schedules							
Fund Transfers							
Drill with instructions reducing personal costs							
Drill with instructions			10/15/13	Yes			

Budget Functionality

http://vfvfweb1.vv.fiscal.ca.gov:8780/ - Oracle Enterprise Performance Management System Works - Windows Internet Explorer

HomePage CalPLANf - Decision Packages * Search [] Advanced

Decision Packages

Budget Requests

Decision Packages > 01.11.02 Amanda Budget Request : 01.11.02 BR testing Scenario : Budget_Prep_UT Version : Finance Working Owner Entity : BU_4300 Year : FY14

Notes and Attachments Justification **Data Collection Forms**

Program Budget Measures Workload Measures Agenda_Groups Appropriation Flags Issue_Codes **OE&E_Request** Select Fund-Reference Update Fund-Reference Revenue Receipt Reimbursements Legislative Management Manage Request Positions

Scenario: Budget_Prep_UT Year: FY14 SvcLocation: No_SvcLocation

BU_3240 Employment Development FD_0001 General Fund P_10 RF_001 No_Reporting_Structure

ENY14 No_Project

Operating Expenses CY Operating Expenses BY Operating Expenses BY1 Operating Expenses BY2 Operating Expenses BY3 Operating Expenses BY4 Operating Expenses Summary

	Total Request			01.11.02 BR testing		
	Enacted			Finance Working		
	Request Amount PY	Request Amount CY	Total Expenditure CY	Limited Term Request CY	OnGoing Request CY	Request Amount CY
	YearTotal	YearTotal	YearTotal	YearTotal	YearTotal	YearTotal
Personal Services						
General Expense						
Printing						
Tuition and Registration Fees					3,000	
Training Films and Slides						
Training						
Facility Ops						
Rent and Leases						
Master Planning						
Utilities						
Contract and Professional Services				25,000		
Programming						
Capital Outlay						
OE&E						
Total Expenses						
Unallocated Expense						

Budgets: Next Steps

- Targeted Outreach to Non-Wave 1 Departments to inform them of upcoming events
- FI\$Cal Forum Presentation – Overview of Budget Development for Non-Wave 1 (March 2014)
- Budget Instructions – Ongoing throughout budget development cycle

PANEL DISCUSSION

Mark Howard

Cathy Leal

Amanda Martin

Kirk McCain

Tracy Milner

Nevil Pesika



Talk with your Departmental Liaisons

http://fiscal.ca.gov/about-vision/departments_liason_network_corner/

or e-mail the FI\$Cal project team at:
fiscal.cmo@fiscal.ca.gov